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# Emergence of Epidemic Multidrug-Resistant *Enterococcus faecium* from Animal and Commensal Strains

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**ABSTRACT** *Enterococcus faecium*, natively a gut commensal organism, emerged as a leading cause of multidrug-resistant hospital-acquired infection in the 1980s. As the living record of its adaptation to changes in habitat, we sequenced the genomes of 51 strains, isolated from various ecological environments, to understand how *E. faecium* emerged as a leading hospital pathogen. Because of the scale and diversity of the sampled strains, we were able to resolve the lineage responsible for epidemic, multidrug-resistant human infection from other strains and to measure the evolutionary distances between groups. We found that the epidemic hospital-adapted lineage is rapidly evolving and emerged approximately 75 years ago, concomitant with the introduction of antibiotics, from a population that included the majority of animal strains, and not from human commensal lines. We further found that the lineage that included most strains of animal origin diverged from the main human commensal line approximately 3,000 years ago, a time that corresponds to increasing urbanization of humans, development of hygienic practices, and domestication of animals, which we speculate contributed to their ecological separation. Each bifurcation was accompanied by the acquisition of new metabolic capabilities and colonization traits on mobile elements and the loss of function and genome remodeling associated with mobile element insertion and movement. As a result, diversity within the species, in terms of sequence divergence as well as gene content, spans a range usually associated with speciation.

**IMPORTANCE** Enterococci, in particular vancomycin-resistant *Enterococcus faecium*, recently emerged as a leading cause of hospital-acquired infection worldwide. In this study, we examined genome sequence data to understand the bacterial adaptations that accompanied this transformation from microbes that existed for eons as members of host microbiota. We observed changes in the genomes that paralleled changes in human behavior. An initial bifurcation within the species appears to have occurred at a time that corresponds to the urbanization of humans and domestication of animals, and a more recent bifurcation parallels the introduction of antibiotics in medicine and agriculture. In response to the opportunity to fill niches associated with changes in human activity, a rapidly evolving lineage emerged, a lineage responsible for the vast majority of multidrug-resistant *E. faecium* infections.

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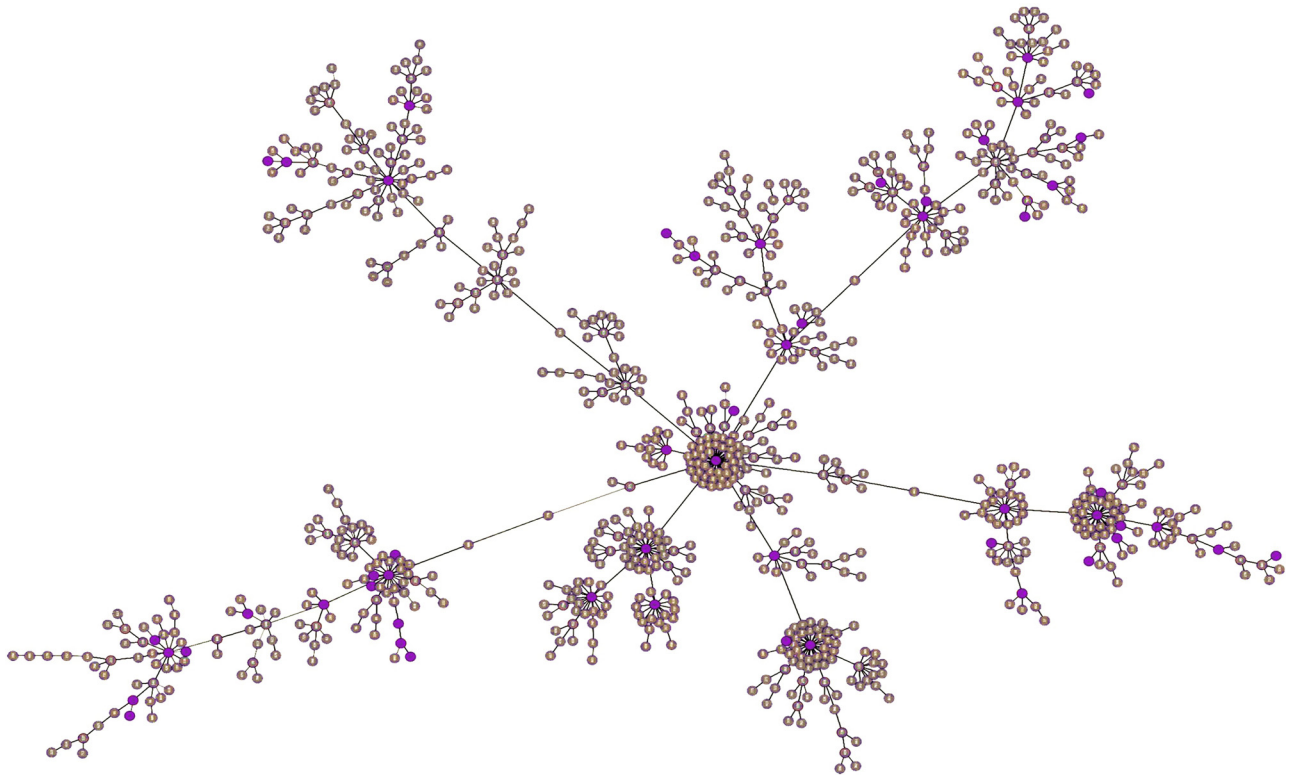
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Antibiotic resistance is a leading threat to human health worldwide that substantially increases the cost of health care (1). Enterococci emerged in the 1970s and 1980s as leading causes of antibiotic-resistant infection of the bloodstream, urinary tract, and surgical wounds (1), contributing to 10,000 to 25,000 deaths per year in the USA (2). Resistance to antibiotics is common among enterococci (1), and vancomycin-resistant *Enterococcus faecium* now represents up to 80% of *E. faecium* isolates in some hospitals (3). Agricultural practices have promoted the emergence of antibiotic resistance (4–6). The use of avoparcin in animal feed in Europe and elsewhere appears to have contributed to the proliferation of vancomycin resistance (7–11), and entero-

cocci have begun to transmit vancomycin resistance to methicillin-resistant *Staphylococcus aureus* (12).

Previously, we examined a limited sampling of human commensal and hospital isolates of *E. faecium* and found that by average nucleotide identity analysis (ANI), some differed by more than 5%, crossing the threshold used for species identity (13). Since variation was noted among hospital strains (13–16) and since little was known about strains from the gastrointestinal (GI) tracts of domestic and other animals, it was of interest to determine the scope of diversity within the species and to precisely define these populations and their origins. We therefore characterized the breadth of the species by sequencing and comparing



**FIG 1** goeBURST analysis of 2,273 *E. faecium* entries in the *E. faecium* MLST database (<http://efaecium.mlst.net>), which can be grouped into 773 sequence types (STs) (brown circles), based upon MLST relatedness. STs included in this study are highlighted in purple.

the genomes of 51 strains, sampling all areas of the existing multilocus sequence type (MLST) phylogeny (Fig. 1).

## RESULTS

**Phylogenomic reconstruction of *E. faecium* divergence.** We determined the nucleotide sequences of the genomes of 51 *E. faecium* strains of different MLST types (see Table S1 in the supplemental material), which were obtained from diverse ecological environments (see Fig. S1 in the supplemental material) on five continents, and isolated over the last 60 years (Fig. S1). A single nucleotide polymorphism (SNP)-based phylogenetic tree, which compared these strains to each other and to an additional 22 strains from GenBank (Table S1), was generated based on variation in 1,344 shared single-copy orthologous groups (orthogroups) (Fig. 2). This tree confirmed the deep divide between clades (clades A and B) (13, 16). Most (5/7) strains isolated from the feces of nonhospitalized humans cluster in clade B. We were able to resolve the epidemic hospital strains (clade A1) from a mixed group of animal strains and sporadic human infection isolates (clade A2). This clade structure was independently recapitulated based on cluster analysis of (i) shared gene content (Fig. S2) and (ii) gene synteny (Fig. S3).

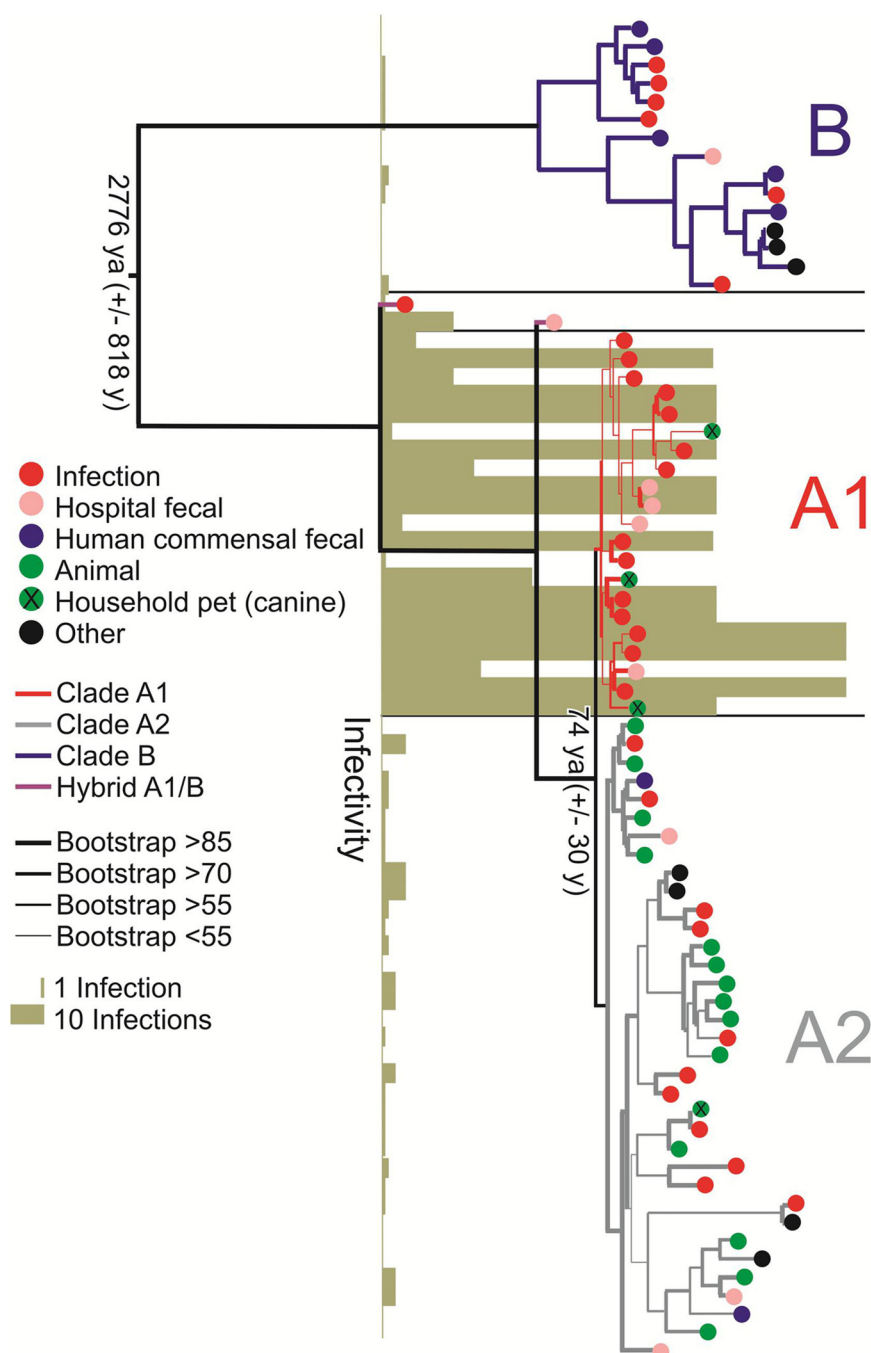
Clade A1 strains account for the vast majority of human infection (Fig. 2) and include sequence types (STs) from the clonal complex 17 (CC17) genogroup (e.g., sequence type 17 [ST17], ST117, and ST78 [18]) associated with hospital ward outbreaks around the globe (see Table S1 in the supplemental material). Interestingly, the three clade A1 strains of animal origin are from pet dogs, consistent with known links between hospital strains and

household pets (19). Two strains (EnGen0002 and 1\_231\_408) possess hybrid genomes, consisting of a background genome of clade A1, into which 195 kb to 740 kb DNA from a clade B donor have recombined (Fig. S4).

To understand the forces that gave rise to the observed clade structure in the context of human activity, we estimated the time at which these bifurcations occurred, using Bayesian evolutionary analysis on sampled phylogenetic trees (BEAST) (20). To limit the confounding effect of recombination, detectable signatures of recombination were removed from the analysis using BRATNNext-Gen (21). Concerned that differing stresses in different habitats could affect mutation rate, we calculated inferred rates of mutation for each clade separately. A significantly higher mutation rate was found for strains in the hospital-adapted clade A1 ( $4.9 \times 10^{-5} \pm 0.3 \times 10^{-5}$  substitutions per nucleotide per year) than for sister clade A2 ( $3.6 \times 10^{-6} \pm 0.6 \times 10^{-6}$  substitutions per nucleotide per year). The mutation rate for clade B was intermediate at  $1.3 \times 10^{-5} \pm 0.2 \times 10^{-5}$  substitutions per nucleotide per year, a rate that is similar to those recently reported for *Staphylococcus aureus* (22, 23).

To determine whether the calculated mutation rate differences reflected historic events or whether they are still experimentally detectable, the rate of mutation to fosfomycin resistance was measured for 10 randomly selected strains from each clade. Resistance was verified for stability by passage in the absence of selection, followed by retesting. Clade A1 strains yielded spontaneous fosfomycin-resistant variants at a rate about an order of magnitude higher than strains of either clade A2 or clade B (Fig. 3), paralleling the results of BEAST analysis. Therefore, mutation



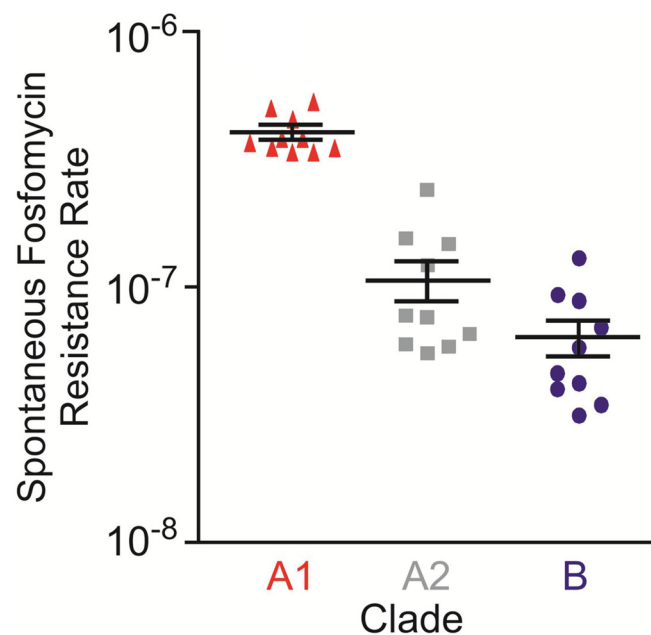


**FIG 2** RAxML SNP-based tree based on the concatenated alignments of DNA sequences of 1,344 single-copy core genes in 73 *E. faecium* genomes. Bootstrapping was performed with 1,000 replicates. The origins of the strains are indicated. The dates for the split between the clades, estimated by a BEAST analysis, are indicated (ya, years ago). The infectivity score reflects the number of strains of a particular ST, in the MLST database, isolated from infection. The clades are color coded as follows: clade B in dark blue, clade A1 in red, and clade A2 in gray.

rates for each clade inferred by BEAST were used to estimate the time of divergence between clades A1, A2, and B. This placed the time of the initial split between clade A and clade B at  $2,776 \pm 818$  years ago and that between clade A1 and clade A2 at  $74 \pm 30$  years ago (Fig. 2).

**Gene content differences.** Gene gain and loss make fundamental contributions to new habitat adaptation and the emergence of new lineages (24). Strains from clade A1 were found to

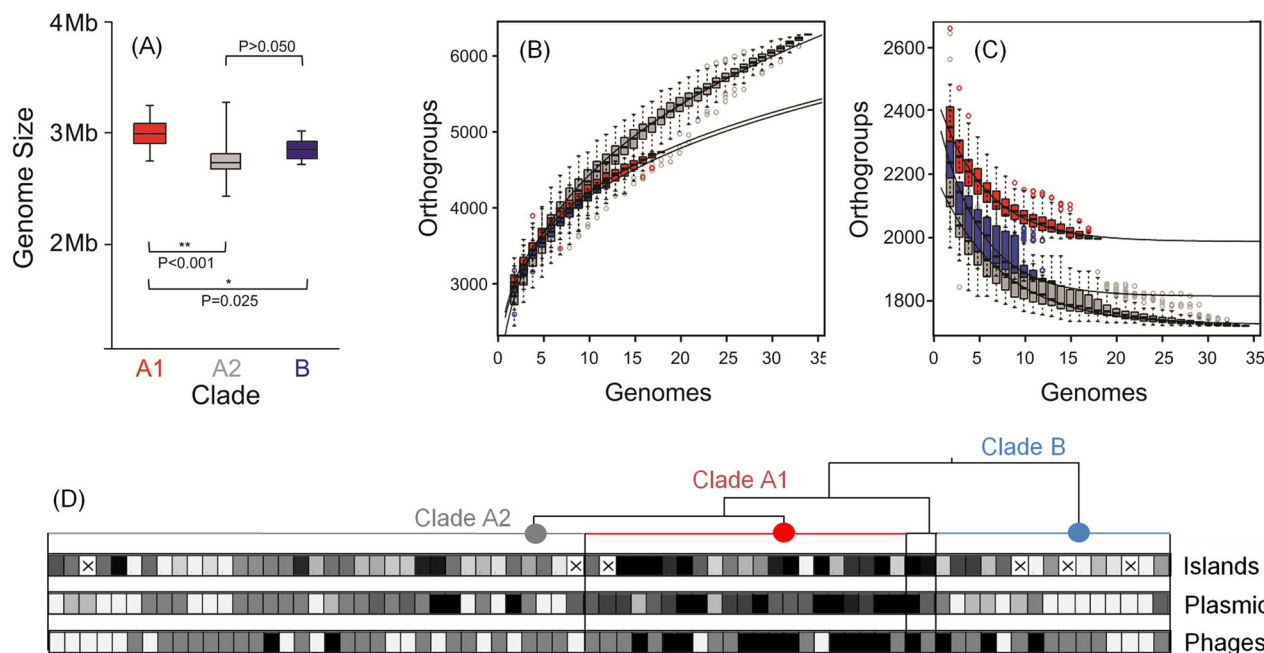
have significantly larger overall average genome size ( $2,843 \pm 159$  genes;  $2.98 \pm 0.15$  Mb) than strains of either clade A2 ( $2,597 \pm 153$  genes;  $2.75 \pm 0.14$  Mb) or clade B ( $2,718 \pm 120$  genes;  $2.84 \pm 0.1$  Mb) (Fig. 4A), indicating that perpetuating cycles of infection and survival in the hospital are associated with acquisition of new functions. Clade A1 strains also have larger core genomes (1,945 genes) than strains of clade A2 (1,724 genes) or clade B (1,805 genes), which is consistent with a very recent emergence of this



**FIG 3** Frequency of fosfomycin resistance was determined in triplicate for 10 randomly selected strains from each *E. faecium* clade (clade A1 [red], A2 [gray], and B [dark blue]). Each symbol represents the average value for one strain, and the clade average  $\pm$  standard deviation (error bars) for the 10 strains per clade are indicated.

lineage (i.e., little time for divergence between strains to occur) (Fig. 4C). In contrast, the pan-genome of clade A2 is larger (6,343 genes) than those of clade A1 and B (5,663 and 5,551 genes, respectively) (Fig. 4B), which is consistent with the diverse origins of strains from this clade. In comparison to other opportunist, the *E. faecium* genome is relatively open (see Fig. S5 in the supplemental material).

Previously, the genomes of hospital strains of the sister species, *Enterococcus faecalis*, were found to differ from commensal organisms largely as the result of mobile element acquisition (13), which was associated with the absence of CRISPR (clustered regularly interspaced short palindromic repeat) protection (25). It was, therefore, of interest to determine the extent to which mobile elements drove the divergence of *E. faecium* clades. Mobile elements were identified using PHAST (26) for phages, SIGI-HMM (27) for genomic islands, and BLAST for *repA* orthologs in plasmid-related contigs (28). Clade A1 was found to be enriched in mobile elements, including plasmids ( $5.4 \pm 1.9$  plasmids/genome in clade A1, compared to  $2.7 \pm 2.2$  and  $1.5 \pm 1.1$  plasmids/genome in clade A2 and B strains, respectively), integrated phages ( $1.6 \pm 0.9$  phages/genome, compared to  $0.7 \pm 0.7$  and  $0.9 \pm 0.8$  phages/genome in clade A2 and B strains, respectively) and other genomic islands ( $36 \pm 26$  kb of island-associated sequence/genome, compared to  $14 \pm 10$  and  $17 \pm 11$  kb of island-associated sequence/genome in clade A2 and B strains, respectively) (Fig. 4D). Because the genome sequences generated in the present study were of high quality, yielding a small number of scaffolds



**FIG 4** (A) Genome size comparison for *E. faecium* clade A1 (red), A2 (gray), and B (dark blue). (B and C) Pan-genome (B) and core genome (C) are shown for increasing values of the number of sequenced *E. faecium* genomes within each clade. Circles represent the number of new or core genes present when a particular genome is added to each subset. Black bars represent median values. The curve for the estimation of the size of the *E. faecium* pan-genome for each clade is a least-squares power law fit through medians. The size of the core genome within each clade was estimated by fitting an exponential curve through medians. (D) Heat map showing the enrichment in genetic mobile elements in *E. faecium* genomes within each clade (clade A1 [red], A2, [gray], and B [light blue]). Horizontal boxes represent strains, which are ordered within clades as in Fig. 2 (rotated 90°). The aggregate length (kb) of islands was used to compare content in each clade (ranging from 4 kb to 99 kb; median, 17 kb), whereas the numbers of putative plasmids (ranging from 0 to 9; median, 3) or phage elements (ranging from 0 to 4; median, 1) are represented. The heat map reflects the 10th percentile (light gray), 50th percentile (medium gray), and 90th percentile (black). The “X” symbol in a box indicates genome sequence for which the length of genomic islands could not be determined using the SIGI-HMM algorithm (27).

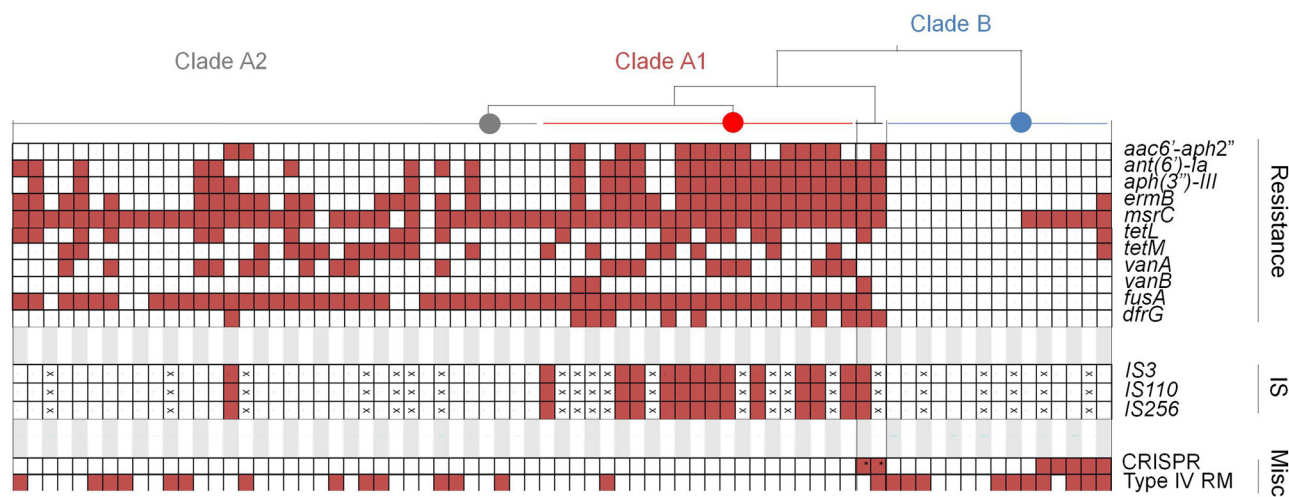


FIG 5 Summary of clade-specific antibiotic resistance genes, insertion sequences (IS), and select defenses against horizontal gene transfer. Each box represents a strain, arranged by clade as shown in Fig. 2. The “x” symbol in a box indicates genome sequence with an assembly quality that precluded identification of the indicated feature. An asterisk in a box indicates hybrid genomes that contain CRISPR-*cas* on recombined fragments. CRISPR and type IV restriction-modification (RM) systems are included in the miscellaneous (Misc.) category.

(see [https://olive.broadinstitute.org/projects/work\\_package\\_1/downloads](https://olive.broadinstitute.org/projects/work_package_1/downloads)), we were able to quantify and determine the rate of occurrence and location of IS elements. IS element occurrence ranges from a low of 2.6 per Mbp (clade B strain EnGen0047) to a high of 50.7 IS elements per Mbp (clade A2 strain EnGen0024). Three IS elements (*ISenfa3*, *ISSpn10*, and *IS16*) are highly enriched in clade A1 and are found outside this clade only in a single clade A2 strain (EnGen0024) and the clade A1/B hybrid strain, EnGen0002 (Fig. 5). On average, strains of clade A1 harbored a total of 391 kb of mobile element DNA, and clade A harbored an average of 332 kb. Clade B strains contained an average of 340 kb of mobile element DNA.

To identify functional differences and remaining differences in gene content not restricted to mobile elements, we next identified orthogroups present in  $\geq 80\%$  of genomes of one clade but in  $\leq 20\%$  of strains from a comparator (see Table S2 in the supplemental material). Contiguous groups of genes were identified and associated with the mobile elements identified above where possible. To begin to understand the ecological forces that led to the initial bifurcation between clades A and B, we identified genes occurring in most clade A (A1 plus A2) strains but that were rare in clade B and vice versa. We found 66 orthogroups enriched at the level of  $\geq 80\%$  in clade A and  $\leq 20\%$  in clade B and 138 orthogroups enriched in clade B versus clade A (Table S2). Genes enriched in clade A strains largely occurred in 12 clusters of contiguous genes (cluster 2 [C2], C8, C10, C11, C12, C17, C19, C20, C21, C22, C23, and C24), with 8 clusters occurring in identifiable mobile elements. Cluster 10, 11, 12, and 24 genes encode functions related to altered carbohydrate utilization (Table 1 and Table S2). Cluster 19 genes include ABC transporters putatively related to antibiotic transport. Other genes enriched in clade A strains, with predicted roles in adapting to different habitats, include genes encoding a putative membrane-bound metalloprotease in cluster 17 that likely confers resistance to a cognate bacteriocin (29), and an LPXTG-anchored collagen adhesin in cluster 21 that may relate to colonization and niche selection (30). Individual genes showing an enrichment in clade A versus clade B

strains include a putative choloylglycine bile hydrolase related to that known to be important in the pathogenesis of *Listeria* infection (31), which may enable *E. faecium* to colonize regions of the intestine more proximal to the bile duct.

Genes representing 138 orthogroups were found to be enriched in clade B strains compared to clade A strains. These largely occur in 24 clusters of contiguous genes but this time with few signatures of mobile elements. Gene groups C33, C35, C37, C43, C44, C45, C51, and C54 and a single gene (*EfmE980\_2866*) have predicted roles in carbon metabolism, highlighting the differential use of carbohydrates by strains of each clade (Table 1; see Table S2 in the supplemental material). Cluster 50 encodes a cysteine-containing DnaJ-like chaperone, adjacent to a putative metallo- $\beta$ -lactamase class protein that is likely to be involved in the homeostasis of glutathione pools (since these commensal strains of *E. faecium* do not inactivate  $\beta$ -lactams), involved in maintenance of protein structure. A main driver of clade divergence, therefore, appears to stem from residence in different ecological environments that have selected for the systematic exchange of phosphotransferase system (PTS) systems, with strains of clade A acquiring new PTS systems on mobile elements and deleting obsolete PTS systems from the clade B chromosome.

Interestingly, cluster 39, which is enriched in clade B, contains four genes that are predicted to form an *agr*-like quorum-sensing system (32), along with another Mga-type regulator that may connect quorum sensing to carbohydrate utilization (Table 1; see Table S2 in the supplemental material) (33). Unexpectedly, cluster 53, with an apparent 98-amino-acid secretion target (*EfmE980\_2510*), which also is enriched in clade B, appears to encode a type VII secretion system. Both *agr* (32) and type VII secretion systems (34, 35) have been studied for their contribution to infection pathogenesis, but the pattern of differential presence observed here highlights potentially important roles in commensalism as well.

It was also of interest to examine differential gene presence in clades A1 and A2. In hospital epidemic clade A1, 48 genes were identified as differentially present, with 37 genes occurring in 6

TABLE 1 Enrichment of functional gene clusters in *E. faecium* clades<sup>a</sup>

Cluster	A vs B	A1 vs B	A2 vs B	B vs A	A1 vs A2	Putative function of the cluster or gene of interest
10						PTS system, N-acetylglucosamine-specific
12						PTS system, glucitol/sorbitol-specific
11						Alternate pathways for glycolysis and gluconeogenesis
24						Starch, xylose and sucrose utilization
19						ABC transporter and regulatory proteins
20						ABC transporter of unknown function
23						ABC transporter
17						Bacteriocin self-immunity protease
21						Surface proteins
22						Hexapptide transferase, LysR substrate binding domain
2						Putative toxin-antitoxin system
8						Unknown
27						PTS system, Glucose/mannose and GlcNAc, ManNAc and Neu5Ac
1						PTS system, Lactose/Cellobiose specific
3						PTS system, glucose specific
18						Enterocin A immunity, Class II bacteriocin
15						ABC transporter of unknown function
5						Regulatory genes, HTH DNA binding domain
14						IS66 family transposase
25						Putative toxin-antitoxin system IS605- and IS200-like
26						Unknown
4						Unknown
9						Unknown
6						PTS system, mannose/fructose/sorbose specific
16						Glycosyl hydrolase, Sugar uptake systems
7						Phage integrase and excisionase
13						Unknown
28						Transcriptional regulator, LPXTG cell wall anchor protein
29						DNA binding regulators
33						PTS system, sorbose specific
35						PTS system, maltose specific
36						PTS system, fructose/sorbose specific
43						PTS system sucrose/amylose specific
44						PTS system Lactose/Cellobiose specific
45						PTS system associated Lactose/Cellobiose/maltose
46						Exopolysaccharide biosynthesis, glycosyltransferase
51						Mga regulators
54						Mga regulator
42						LacG, ABC transporter
30						DNA binding regulator, phospholipase, ABC transporter
31						Putative peptidase, DNA binding regulator
39						AgrABC operon
37						Chitinase C1, Chitin binding protein, DNA binding regulator
41						GadR/MutR family transcriptional regulator
50						DnaJ chaperone, Metallo-beta-lactamase class
34						Efflux pump MtrF, beta-Ala-Xaa dipeptidase
53						Putative type VII secretion system
48						Oligopeptide transport system and permease
47						Unknown
49						Unknown
38						Unknown
40						Unknown
32						Unknown
52						Unknown

<sup>a</sup> Differentially occurring clusters of genes associated with chromosomal DNA (black), putative ICE elements (integrative and conjugative elements) (dark gray), plasmids (medium gray), or phages (light gray). Clusters functionally associated with carbohydrate uptake and utilization are indicated in blue type. No genes are differentially enriched in the genomes of strains in clade A2 compared to clade A1. HTH, helix-turn-helix.

distinct clusters associated with mobile elements (Table 1; see Table S2 in the supplemental material). Interestingly, the split between clades A1 and A2 is also associated with the gain of pathways for carbohydrate utilization. Clade A1 strains acquired an apparent mobile element of 13 genes (C6 [Table 1]) encoding enzymes for uptake and utilization of fructose, sorbose, and mannose. This appears to be functionally related to a cluster (C36) that earlier

was lost from clade B by strains of clade A. C6 is known to play an important role in GI tract colonization following antibiotic treatment (36). It is interesting that clade A1 recovered this ability, and this observation suggests that it may relate to human colonization. Cluster C16 is also differentially enriched in clade A1 and contributes to carbohydrate utilization. No orthogroups were enriched in clade A2 versus clade A1.



We identified additional genes that show enrichment in clade A1 compared to clade B. Gene clusters 1, 3, and 27 putatively encode proteins for PTS systems and enzymes for the interconversion and metabolism of lactose/cellobiose, glucose, mannose, *N*-acetylneuraminate, *N*-acetylmannosamine, and other sialic acids. Clusters 1 and 27 are associated with mobile elements. Cluster 18 (C18), which is also enriched in clade A1 compared to clade B, encodes a three-gene operon for a class II bacteriocin that may be a colonization factor (Table 1; see Table S2 in the supplemental material).

**Bifurcation of clade A parallels the proliferation of resistance.** To understand the role that antibiotics played as a driver of clade formation, we examined the differential presence of resistance genes (see Table S3 in the supplemental material). Two resistance genes [*aac*(6')-*li* conferring resistance to kanamycin and *bacA* conferring bacitracin resistance] are part of the core *E. faecium* genome. The ubiquitous presence of *aac*(6')-*li* has been observed before and contributes to the intrinsic resistance of *E. faecium* to several aminoglycosides (37). The *bacA* gene may be responsible for intrinsic resistance to bacitracin observed among *E. faecium* (38). Seven strains analyzed were isolated in the 1950s and 1960s, allowing for the identification of genes associated with some of the earliest known acquired resistances to occur in *E. faecium*. Strains EnGen0025, EnGen0027, EnGen0031, EnGen0032, and E1636 were isolated between 1957 and 1965; these strains fall into clade A2. Each of these strains also possesses the *fusA* fusidic acid resistance gene. Additionally, strains EnGen0025, EnGen0027, EnGen0031, and E1636 possess the *msrC* gene, which confers erythromycin resistance. Strain EnGen0025 additionally acquired the aminoglycoside resistance genes *ant*(6')-*la* (conferring resistance to streptomycin) and *aph*(3')-*III* (conferring resistance to several aminoglycosides, including neomycin and gentamicin B), *ermB*, and *tetM*. As shown in Fig. 2, this strain (the fifth strain from the top of clade A2) is closely related to the clade A1 branch point and presumably the clade A1 founder.

Other resistances exhibit clear clade specificity (Fig. 5; see Table S3 in the supplemental material). Vancomycin resistance is completely absent from clade B. Vancomycin resistance occurs mainly in clade A1 but also occurs in clade A2. Aminoglycoside resistance genes *ant*(6')-*la* and *aph*(3')-*III* are completely absent from clade B strains, but they occur in most clade A1 isolates. Interestingly, in clade B, the *msrC* resistance gene correlates perfectly with the presence of a CRISPR element. We have not found prior mention of the occurrence of several resistance genes in *E. faecium*, including the *aadD* cassette, which confers resistance to tobramycin and kanamycin, in a single genome (strain EnGen0035). We also observed genes *lnuB*, *ermG*, and *ermT* (that likely confer various degrees of resistance to the macrolides-lincosamides-streptogramin B [MLS] class of antibiotics), *tetC* (conferring resistance to tetracycline), and *fosB* (conferring resistance to fosfomycin) in *E. faecium*.

**Clade structure is reflected in *E. faecium* genome organization.** The Aus0004 genome possesses a previously identified 683-kb inversion around the replication termination site (17). Similar inversions appear to have occurred several times independently (since boundaries were not strictly identical) in strains of clades A1 and A2 (i.e., in strains EnGen0007 and EnGen0025), but not in strains of clade B (see Fig. S6 in the supplemental material). This inversion is bounded by different phages in different strains, and it appears that the recombination responsible for this rear-

angement occurred within the phage sequence. Larger inversions in other areas of clade A1 and A2 genomes were also observed, including a 1.2-Mbp inversion in both EnGen0046 and EnGen0049, and again appear to be driven by recombination within phages present at the boundaries. Most genome rearrangements observed in *E. faecium* can be linked to the occurrence of mobile genetic elements at the boundaries. Select novel rearrangements were arbitrarily verified by PCR, and the accuracy of assembly was verified in each case.

In addition to mediating inversions and recombinations, introduction and proliferation of IS elements in a bacterial population can facilitate adaptation to new niches as the result of obsolete gene inactivation (1). We identified 133 instances of IS element-mediated gene inactivation in *E. faecium* (see Table S4 in the supplemental material). The number of IS-mediated gene inactivation events was highest in clade A1 genomes and lowest in clade B strains. In clade A1 strains, we found a strong enrichment for disruption of a core gene encoding a putative major facilitator superfamily (MFS) transporter (EFAU004\_02447 in strain AUS0004) (Table S4).

Since compromised defense was associated with the evolution of hospital epidemic strains of *E. faecalis* (25), it was of interest to examine more closely the relationship between the presence of a CRISPR-Cas system and mobile element content. We therefore examined the 73 *E. faecium* genome sequences studied for the presence of CRISPR-*cas* using CRISPRfinder (39). Only 7 *E. faecium* genomes carried *cas* genes (Fig. 5), and in 5 of these (strains Com12, EnGen0002, EnGen0056, 1\_141\_733, and 1\_231\_408), a CRISPR array could be readily identified immediately downstream. In strains 1\_231\_408 and EnGen0056, where spacers could be matched to known genes, one was derived from a phage that is a common lysogen in *E. faecium* genomes (present in 39 out of 73 genomes). Interestingly, this phage is absent from these 2 genomes, suggesting CRISPR-Cas functionality. Notably, all strains that carry *cas* genes are either found in a distinct subgroup within clade B or are hybrid strains 1\_231\_408 and EnGen0002 that acquired the *cas* genes and its associated CRISPR-locus from the clade B parent (see Fig. S4 in the supplemental material). Apart from the CRISPR defense, we observed a gene encoding a putative type IV methyl-directed restriction enzyme in strains of both clade B and A2, but not in clade A1 genomes (Fig. 5).

**Evidence of varying selection in genomes from each clade.** We examined polymorphisms in shared genes to detect genes under particularly strong selection in the different habitats occupied by strains of each clade. Because of the clade structure, we used a tree-based approach (40) to compare the ratios of nonsynonymous to synonymous base changes (*dN/dS* ratio). We removed potentially confounding (41) recombined fragments using BRAT-NextGen (21). Genes under positive selection were identified when the *dN/dS* ratio in the clade of interest (foreground) was observed to be significantly higher than the *dN/dS* ratio in the comparator genomes (background) (see Table S4B in the supplemental material). No genes were found to be under positive selection in clade B compared to clades A, A1, and A2, likely reflecting the fact that clade B strains had long-fixed beneficial mutations in this particular niche before the emergence of the A clade. Only four genes were found to be under differential positive selection pressure in clade A compared to clade B, two of which were annotated as having roles in amino acid transport and metabolism (Table S4B). Interestingly, in strains of the hospital-adapted clade



A1, a penicillin binding protein transpeptidase and the D-alanyl-D-alanine ligase were under differential positive selection compared to strains of both clades A2 and clade B (Table S4B). Finally, an MFS transporter involved in carbohydrate transport and metabolism in clade A1 and an N-acetylglucosamine transferase in clade A2 were found to be under positive selection pressure, providing independent support for the importance of differential carbohydrate utilization as a determinant of clade structure, as inferred from gene gain/loss patterns described above.

## DISCUSSION

Speciation results from expansion into new ecological niches and subsequent isolation from the founder population (42) and is accompanied by changes in the genome stemming from mutation, recombination (43), and horizontal gene transfer (44). All of these processes have contributed to the current population structure of *E. faecium* and its emergence as a leading multidrug-resistant hospital pathogen.

Quantification of mutation rates for strains in each *E. faecium* clade allowed us to estimate that the first bifurcation in the *E. faecium* population took place approximately 3,000 years ago, substantially sooner than previously suggested (16). Although it is difficult to know the ecological drivers of this split with precision, the timing suggests that it relates to increasing insulation between the flora of humans and animals, which likely stemmed from increased urbanization, increased domestication of animals providing restricted and specialized diets (45, 46), and increasing use of hygienic measures (47, 48). This bifurcation was associated with a wholesale loss and replacement of carbohydrate utilization pathways, mediated largely by acquisition on mobile elements by strains of clade A. Many of the clade B pathways lost by clade A strains relate to the utilization of complex carbohydrates from dietary sources, and the pathways lost were replaced by pathways on mobile elements associated with the utilization of amino sugars, such as those occurring on epithelial cell surfaces and in mucin, suggesting a possible shift from a lifestyle dependent mainly on host diet (clade B) to one increasingly dependent on host secretions (clade A). In addition to carbohydrate utilization pathways, there was a substantial shift in genes encoding Mga-type helix-turn-helix regulators, which in *Streptococcus pyogenes* connect expression of niche-specific genes with carbohydrate metabolism (33).

The second split in the *E. faecium* population, the split between clade A1 and clade A2, appears to have occurred approximately 75 years ago, coinciding precisely with the introduction of antibiotics in both clinical medicine and agriculture. However, this split may not have been directly driven by the usage of antibiotics, as antibiotics are used both in farming and in human medicine. The ability to rapidly acquire new traits on mobile elements, including carbohydrate utilization pathways as well as resistance to antibiotics, appears to be an intrinsic trait of clade A1 and clade A2. Although clade A1 strains now cause the vast majority of infections (Fig. 2), early clinical isolates from the 1950s and 1960s do not cluster in clade A1. The earliest isolation of a strain associated with an MLST type occurring in clade A1, occurred in 1982 (49). That isolate already possessed high-level resistance to gentamicin and carried the *esp* gene.

Interestingly, we found that the recently emergent hospital-adapted clade A1 is hypermutable, as reflected in the inferred rate of mutation in the genomes, and experimentally. Hypermutation

in Gram-negative bacteria has been linked to the emergence of antibiotic-resistant lineages that are pathogenic to humans (50–52). In Gram-positive bacteria, hypermutating populations of pathogenic *Streptococcus pneumoniae* and *Staphylococcus aureus* have been observed (53, 54). In *E. faecium*, polymorphisms in *mutS* and *mutL* (which encode DNA mismatch repair proteins) have been noted (55), but the polymorphisms are not associated with differential mutation rates in different clades. Higher mutation rates have been associated with microbes recently experiencing a host switch (e.g., *Mycoplasma gallisepticum*,  $0.8 \times 10^{-5}$  to  $1.2 \times 10^{-5}$  substitutions per site per year [61]) and with the emergence of pathogenic lineages (52), possibly including *E. faecium* strains of the CC17 genogroup (56). It appears that the epidemic hospital clade A1 emerged because of its ability to acquire mobile elements, its ability to utilize carbohydrates of nondietary origin, and its hypermutability.

Previously, the average nucleotide identity of eight *E. faecium* strains was determined to range between 93.5 and 95.6% when comparing strains from clades A and B (13), and clade A and B strains would be considered to be distinct species by existing criteria (57, 58). The identification of hybrid clade A1/B strains (strains EnGen0002 and 1\_231\_408) show that the ecological niches of human-infecting hospital strains and human commensal strains do occasionally overlap. The emergence of the distinct clade structure in *E. faecium* parallels anthropogenic changes in urbanization and animal domestication and, more recently, the introduction of antibiotics into agriculture and medicine. The net effect of these forces is the emergence of a rapidly evolving lineage, which has crossed a degree of divergence usually associated with speciation.

## MATERIALS AND METHODS

**Bacterial strains.** Strains selected for genome analysis were drawn from those representing diverse points within the known phylogenetic structure, as determined by MLST (Fig. 1), and are listed in Table S1 in the supplemental material. DNA was purified from each *E. faecium* strain as described before (13) for DNA sequence analysis. Methods for DNA sequencing, genome assembly, and bioinformatic analysis are provided in Supplemental Methods at [https://olive.broadinstitute.org/projects/work\\_package\\_1/downloads](https://olive.broadinstitute.org/projects/work_package_1/downloads), along with details of the genome sequences.

## SUPPLEMENTAL MATERIAL

Supplemental material for this article may be found at <http://mbio.asm.org/lookup/suppl/doi:10.1128/mBio.00534-13/-/DCSupplemental>.

Figure S1, JPG file, 0.5 MB.

Figure S2, JPG file, 1.4 MB.

Figure S3, JPG file, 1.5 MB.

Figure S4, JPG file, 2.6 MB.

Figure S5, JPG file, 0.7 MB.

Figure S6, JPG file, 6.2 MB.

Table S1, DOCX file, 0.1 MB.

Table S2, PDF file, 0.6 MB.

Table S3, PDF file, 0.1 MB.

Table S4, DOCX file, 0.1 MB.

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## ADDENDUM IN PROOF

Following submission we were made aware that others recently described a split, between human and bovine populations of *S. aureus*, datable by BEAST analysis, to approximately 5,000 years ago (L. A. Weinert, J. J. Welch, M. A. Suchard, P. Lemey, A. Rambaut, and J. R. Fitzgerald, *Biol Lett.* 8:829–832, 2012).

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